


<b>Substitute for Form 1449A/PTO (Modified)</b> (use as many sheets as necessary)				<b>Attorney Docket No.:</b> 003801.P029		<b>Application Number:</b> 09/577,434	
				<b>First Named Inventor:</b> Jason May, et al.			
				<b>Filing Date:</b> May 22, 2000			
<b>U.S. PATENT DOCUMENTS</b>							
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (If known)				
OK		5,757,917		Marshall T. Rose, et al.	05-26-1998		
OK		5,826,241		Lee H. Stein, et al.	10-20-1998		
OK		6,246,996		Lee H. Stein, et al.	06-12-2001		

RECEIVED  
 MAR 29 2002  
 Technology Center 2100

FOREIGN PATENT DOCUMENTS									
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)					

<b>Examiner Signature</b>		<b>Date Considered</b>	7/17/02
-------------------------------	---	------------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)  
Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Applicant Number	09/577,434
		Filing Date	May 22, 2000
		First Named Inventor	Jason May, et al.
		Group Art Unit	2164
		Examiner Name	C. Kyle
Sheet 1 of 10	Attorney Docket Number	003801.P029	

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
CLL	3,581,072	Nymayer	5/25/1971	3/28/1968
CLL	3,573,747	Adams, et al.	4/6/1971	2/24/1969
CLL	4,412,287	Braddock, III	10/25/1983	9/15/1982
CLL	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
CLL	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
CLL	4,789,928	Fujisaki	12/6/1988	1/30/1987
CLL	4,799,156	Shavit, et al.	1/17/1989	10/1/1986
CLL	4,823,265	Nelson	4/18/1989	5/11/1987
CLL	4,903,201	Wagner	2/20/1990	11/3/1983
CLL	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990
CLL	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989
CLL	5,101,353	Lupien, et al.	3/31/1992	5/31/1989

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			
all		PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
all		PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
CPK		PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
CLL	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
CLL	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
CLL	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
CLL	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

Examiner Signature	Date Considered
Charles R. [Signature]	7/17/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)  
Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/577,434
		Filing Date	May 22, 2000
		First Named Inventor	Jason May, et al.
		Group Art Unit	2164
		Examiner Name	C. Kyle
Sheet 2 of 10	Attorney Docket Number	003801.P029	

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
CLK	5,136,501	Silverman, et al.	8/4/1992	5/26/1989
CLL	5,168,446	Wiseman	12/1/1992	5/23/1989
CLL	5,243,515	Lee	9/7/1993	10/30/1990
CLL	5,258,908	Hartheimer, et al.	11/2/1993	11/2/1990
CLL	5,280,422	Moe, et al.	1/18/1994	11/5/1990
CLL	5,297,031	Gutterman, et al.	3/22/1994	3/6/1990
CLL	5,297,032	Trojan, et al.	3/22/1994	2/1/1991
CLL	5,305,200	Hartheimer, et al.	4/19/1994	11/2/1990
CLL	5,325,297	Bird, et al.	6/28/1994	6/25/1992
CLL	5,329,589	Fraser, et al.	7/12/1994	6/3/1993
CLL	5,375,055	Togher, et al.	12/20/1994	2/3/1992
CLL	5,394,324	Clearwater	2/28/1995	12/8/1993

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
	Netherland	9300266	2.10.1993			
	France	2658635-A1	2.6.1991			
CLL	Canada	2,253,543	3/19/1997			Yes

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
CLL	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
CLL	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
CLL	POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 1580-1584, Vol. 10, No. 3	
CLL	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

Examiner Signature	Charles R. R.	Date Considered	7/17/02
--------------------	---------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box.

PTO/SB/08A (10-96)  
Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)			Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)			Application Number	09/577,434
			Filing Date	May 22, 2000
			First Named Inventor	Jason May, et al.
			Group Art Unit	2164
			Examiner Name	C. Kyle
Sheet 3 of 10			Attorney Docket Number	003801.P029

**RECEIVED**  
MAY 20 2002  
Technology Center 2100

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
OK	5,426,281	Abecassis	6/20/1995	10/29/1993
OK	5,553,145	Micali	9/3/1996	8/4/1995
OK	5,640,569	Miller, et al.	6/17/1997	4/28/1995
OK	5,664,115	Fraser	9/2/1997	6/7/1995
OK	5,689,652	Lupien, et al.	11/18/1997	4/27/1995
OK	5,710,889	Clark, et al.	1/20/1998	6/7/1995
OK	5,715,402	Popolo	2/3/1998	11/9/1995
OK	5,717,989	Tozzoli, et al.	2/10/1998	10/13/1994
OK	5,727,165	Ordish, et al.	3/10/1998	12/27/1994
OK	5,794,219	Brown	8/11/1998	2/20/1996
OK	5,818,914	Fujisaki	10/6/1998	3/27/1995
	5,826,244	Huberman	10/20/1998	8/23/1995

FOREIGN PATENT DOCUMENTS					
Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Office or Country	Number	Date		

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
OK	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
OK	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
OK	LEE, G., " Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
OK	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

Examiner Signature	Charles R. [Signature]	Date Considered	7/17/02
--------------------	------------------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box

PTO/SB/08A (10-96)  
Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		COMPLETE JC10 MAY 16 2002 PATENT & TRADEMARK OFFICE		Complete if Known	
		Application Number		09/577,434	
		Filing Date		May 22, 2000	
		First Named Inventor		Jason May, et al.	
		Group Art Unit		2164	
Examiner Name		C. Kyle		RECEIVED MAY 20 2002 Technology Center 2100	
Attorney Docket Number		003801.P029			
Sheet	4	of	10		

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number			
CRK	5,835,896	Fisher, et al.	11/10/1998	3/29/1996
CRK	5,845,266	Lupien, et al.	12/1/1998	12/12/1995
CRK	5,850,442	Muftic	12/15/1998	3/26/1996
				</


FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
CRK	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
CRK	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
CRK	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
CRK	'Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

Examiner Signature	Charles R. Rife	Date Considered	7/17/00
-----------------------	-----------------	--------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

+



003801.P029 **Technology Center 2100**

[illegible]

Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
CME	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10	
CKL	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	
CKC	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-4	
CKC	RECK, Martin., "Trading-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

7/17/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



+

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				Applicant Number	09/577,434
				Filing Date	May 22, 2000
				First Named Inventor	Jason May, et al
				Group Art Unit	2164
				Examiner Name	C. Kyle
Sheet	6	of	10	Attorney Docket Number	003801.P029

[illegible]

Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
CRK	VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6	
CRK	LEE, HG., "Aucnet: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given, Pages 1-5	
CRK	KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-4	
CRK	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5	

	Date Considered	
--	-----------------	--

+



+

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/577,434
				Filing Date	May 22, 2000
				First Named Inventor	Jason May, et al.
				Group Art Unit	2164
				Examiner Name	C. Kyle
Sheet	7	of	10	Attorney Docket Number	003801.P029

[illegible]

Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			


Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
OK	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	

Charles Ziff

7/17/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 8 of 10	Attorney Docket No.: 003801.P029	Application Number: 09/577,434
	First Named Inventor:	Jason May, et al.
	Filing Date:	May 22, 2000

### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
OK		4,864,516		Gaither et al.	09-05-1989	
OK		5,205,200		Wright	04-27-1993	
OK		5,485,510		Colbert	01-16-1996	
OK		5,557,728		Garrett et al.	09-17-1996	
OK		5,598,557		Doner et al.	01-28-1997	
OK		5,657,389		Houvener	08-12-1997	
OK		5,694,546		Reisman	12-02-1997	
OK		5,706,457		Dwyer et al.	01-06-1998	
OK		5,715,314		Payne et al.	02-03-1998	
OK		5,771,291		Newton et al.	06-23-1998	
OK		5,771,380		Tanaka et al.	06-23-1998	

### FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
OK		WIPO	WO 99/63461	A1	Dinwoodie, David	12-09-1999		
OK		WIPO	PCT/US 01/12398	Int'l. Search Report	eBay, Inc.	08-27-2001		

Examiner Signature	Charles R. [Signature]	Date Considered	7/17/02
--------------------	------------------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

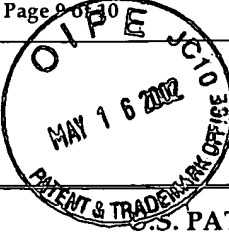
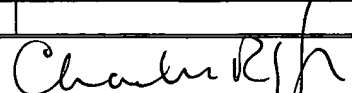
<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

MAY 29 2002

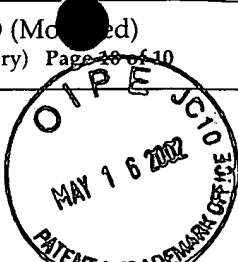
GROUP 8300

<b>Substitute for Form 1449A/PTO (Modified)</b> (use as many sheets as necessary) Page 8 of 30			<b>Attorney Docket No.:</b> 003801.P029		<b>Application Number:</b> 09/577,434	
			<b>First Named Inventor:</b> Jason May, et al.			
			<b>Filing Date:</b> May 22, 2000			
<b>U.S. PATENT DOCUMENTS</b>						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
OK		5,799,285		Klingman	08-25-1998	
OK		5,803,500		Mossberg	09-08-1998	
OK		5,822,737		Ogram	10-13-1998	
OK		5,845,265		Woolston	12-01-1998	
OK		5,872,848		Romney et al.	02-16-1999	
OK		5,873,069		Reuhl et al.	02-16-1999	
OK		5,884,056		Steele	03-16-1999	
OK		5,890,138		Godin et al.	03-30-1999	
OK		5,905,974		Fraser et al.	05-18-1999	
OK		5,905,975		Ausubel	05-18-1999	
OK		5,922,074		Richard et al.	07-13-1999	
OK		5,926,794		Fethe	07-20-1999	
OK		5,963,917		Ogram	10-05-1999	
OK		5,991,739		Cupps et al.	11-23-1999	
OK		6,029,150		Kravtiz	02-22-2000	
OK		6,035,288		Solomon	03-07-2000	
OK		6,035,402		Vaeth et al.	03-07-2000	
OK		6,044,363		Mori et al.	03-28-2000	
OK		6,055,518		Franklin et al.	04-25-2000	
OK		6,058,417		Hess et al.	05-02-2000	
OK		6,073,117		Oyanagi et al.	06-06-2000	
OK		6,085,176		Woolston	07-04-2000	
<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				Translation <sup>2</sup>
Examiner Signature					Date Considered	7/17/02

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 10 of 10	Attorney Docket Number 003801.P029	Application Number: 09/577,434
	First Named Inventor: Jason May, et al.	
	Filing Date: May 22, 2000	

### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
CRK		6,104,815		Alcorn et al.	08-15-2000	
CRK		6,202,051		Woolston	07-13-2001	
CRK		6,266,651		Woolston	07-24-2001	
CRK		4,949,256		Humble	08-14-1990	
CRK		5,761,648		Golden, et al.	06-02-1998	
CRK		5,884,277		Khosla	03-16-1999	
CRK		5,983,196		Wendkos	11-09-1999	
CRK		6,014,634		Scroggie, et al.	01-11-2000	
CRK		6,052,670		Johnson	04-18-2000	
CRK		6,216,115		Barrameda, et al.	04-10-2001	

### OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
CRK		BUSINESS EDITORS, "Mediappraise: Mediappraise Receives National Award for Web-Based Technology That Enables Companies to Solve Thorny HR Problems," 12/14/98, 2 pgs., <a href="http://www.businesswire.com">www.businesswire.com</a> .	
CRK		BUSINESS EDITORS/COMPUTER WRITERS, "ONSALE: ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet Retail Service Debuts With Week-Long Charity Auction For The Computer Museum in Boston," 5/24/95, 3 pgs., <a href="http://www.dialogweb.com/cgi/dwclient?dwcommand=DWEBPRINT%20810-489267">http://www.dialogweb.com/cgi/dwclient?dwcommand=DWEBPRINT%20810-489267</a>	
CRK		MEADE, J., "Visual 360: A Performance Appraisal System That's 'Fun' (Evaluation)," HRMagazine, 44, 7, 2 pgs., July 1999, Society for Human Resource Management.	
CRK		PREIST, C., et al., "Adaptive Agents in a Persistent Shout Double Auction," Proceedings, 1 <sup>st</sup> International Conf. on Information and Computation Economies, October 25-28, 1998, pgs. 11-18, Charleston, N.C.	
CRK		RESNICK, P., et al., "Reputation Systems," December 2000, Assoc. for Computing Machinery, Communications of the ACM, vol. 43, n. 12, 4 pgs.	
Examiner Signature	Charles R. Rife		Date Considered 7/17/02

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.